

Texas Workforce Commission
Office of Internal Audit

Revised Fiscal Year 2019 Audit Plan



For Approval by Commission March 12, 2019

Revised Fiscal Year 2019 Audit Plan

Performance Audits

Auditable Units	Objectives	Budget Hours
Business Operations	Minimum Qualifications Review Process - To determine whether controls over minimum qualification reviews provide reasonable assurance that qualified candidates are selected.	750
Vocational Rehabilitation	Compliance Review of VR Field Guidance - To determine whether guidance provided to Vocational Rehabilitation field offices comply with Federal and State laws.	1000
Workforce Development Workforce Grants & Contracts	Apprenticeship Compliance Review - To determine whether controls over the Apprenticeship program provide reasonable assurance that the program is in compliance with Federal and State laws.	750
General Counsel	Open Records Compliance Review - To determine whether controls over agency open records policies and procedures provide reasonable assurance that the Agency is in compliance with State laws.	650
Workforce Development	Compliance Review of WF Guidance to the Boards - To determine whether guidance provided to Workforce Boards comply with Federal and State laws.	1000
Business Operations Regulatory Integrity	Controls Over Vocational Rehabilitation Contracts - To determine whether controls exist for the creation, management, and monitoring of VR Contracts.	1000
Agency-wide	Controls Over Change Requests - To determine whether controls over change requests provide reasonable assurance that change management is effective.	1000

Performance Audits - Continued

Auditable Units	Objectives	Budget Hours
Agency-wide	Travel Compliance Review - To determine whether controls over agency travel expenditures provide reasonable assurance that travel expenditures are in compliance with the State Travel Management Program.	650
General Counsel Business Operations	Personnel Policies and Procedures Compliance Review - To determine whether the agency's personnel policies and procedures comply with Texas Labor Code Chapter 21 (Employment Discrimination).	250
Business Operations	Internal Control Review of Human Resources and HR Systems – To determine whether controls over Human Resources systems and processes ensure accuracy, reliability, and integrity in personnel records	1500
Business Operations	Administrative Procurement Services – To evaluate the efficiency and effectiveness of Administrative Procurement Services	1500
Agency-wide	Follow-Up Projects – to determine the adequacy and effectiveness of corrective actions taken by management to address reported audit findings.	2212
Agency-wide	Commission Requests - additional projects requested by the Commission.	500